

RE • WORK

AUGUST 12, 2026 | CHICAGO, IL

# AI in **Finance** Summit Chicago



DoubleTree Magnificent Mile

REGISTER NOW

## Shape the Future of Finance with AI in Chicago

**Join Chicago's top financial innovators and AI visionaries, gain exclusive insights, and shape the next era of finance**

Connect with industry leaders, innovators, and AI experts at a one-of-a-kind summit where ideas turn into action. Explore cutting-edge applications of AI in finance, discover strategies driving efficiency and growth, and connect with the minds redefining the industry.

This is your chance to be part of the conversation, gain practical insights, and leave inspired to transform your organization with AI. **Don't just follow the revolution, be at the forefront of it.**

### WHAT'S NEW FOR 2026?

- **Explore the AI and ML innovations shaping finance**, from autonomous decision-making to real-time risk and fraud management.
- **Connect with New York's top financial and AI leaders** and a global network of executives driving industry transformation.
- **Gain actionable insights from experts and real-world use cases**, enabling your organization to implement AI strategies that accelerate growth and efficiency.
- **Future-proof your business with AI-driven strategies** and stay ahead in a rapidly evolving financial landscape.

### AI in Finance Summit Chicago 2026 Key Themes:

- **THE NEXT FRONTIER OF AI IN FINANCE** – From agentic AI and multi-agent systems to quantum breakthroughs reshaping trading, risk, and compliance.
- **TRUST, REGULATION & RESPONSIBLE AI** – Building transparency, fairness, and auditability while navigating evolving global regulatory frameworks.
- **DRIVING BUSINESS VALUE & ROI** – Moving beyond pilots to enterprise-wide adoption, delivering measurable outcomes in efficiency, revenue, and customer experience.
- **AI FOR SUSTAINABLE & INCLUSIVE FINANCE** – Leveraging AI for ESG, climate risk, and expanding financial access in emerging markets.

## Speakers Confirmed:

- Kelly Marie O'Brien, *Vice President, Innovation Economy Card*, JPMORGANCHASE
- Alan Zhang, *SVP Credit Analytics & Infrastructure*, FIRST CITIZENS BANK
- Shuen Mei, *VP, Director of Software and Data Engineering*, HARBOR CAPITAL
- Joseph Chen, *SVP – Financial Modeling (QMA)*, WINTRUST FINANCIAL CORPORATION
- Dian Xu, *Senior Director, Engineering*, ROCKET MORTGAGE
- Umesh Bapat, *Director of AI, Data Science Products and Integrations*, ROCKET CLOSE
- Hunter Treschl, *Manager, AI Center of Excellence*, CBOE GLOBAL MARKETS
- Vishal Sai Gadige, *Senior Manager - Data Science and Reporting*, CIBC US
- Shesh Narayan Gupta, *Senior Manager Data Science*, CAPITAL ONE
- Fernanda H. P. Gomes, *AI & Data Analytics Lead*, IMC TRADING
- Karthikcharan Suresh, *Sr. Lead Data & AI*, HIGHSTREET INSURANCE PARTNERS
- Kishan Kumar, *Senior Software Engineer - Data and AI*, CHIME
- Vinod Surasani, *Sr. Software Engineer MDM*, RBC
- Harkirat Kaur, *Quantitative Research-Vice President*, JPMORGANCHASE
- Brij Mohan, *Vice President-Software Development*, LPL FINANCIAL
- Goutham Miryala, *Sr. Data Engineer*, CHIME
- Raghuvaran Reddy Kalluri, *Lead Developer & Solution Architect*, ROYAL BANK OF CANADA
- Will Johnson, *Head of Data Engineering and Development*, CERITY PARTNERS
- Jesse Gossett, *Senior Director, Examinations*, FINRA

# AI in Finance Chicago | AGENDA DAY ONE August 12, 2026 Chicago

7:50am – 8:50 am	<i>Registration &amp; Open Networking in the Exhibition Area</i>
08.50am-9:00am	<b>WELCOME NOTE &amp; OPENING REMARKS:</b>
9:00am - 9:30am	<p><b>Keynote Presentation: The Human Glue in AI: How Soft Skills Drive Impact in Financial Services</b></p> <ul style="list-style-type: none"> <li>• Bridging the gap between data teams and business leadership</li> <li>• Enabling scalable AI through cross-functional collaboration</li> <li>• Using emotional intelligence to lead change and foster adoption</li> <li>• Positioning soft skills as a strategic advantage in AI-driven initiatives</li> </ul> <p>Dian Xu, <i>Senior Director, Engineering</i>, ROCKET MORTGAGE</p>
9:30am - 10:00am	<p><b>Keynote Presentation: Conversational AI Evolution: A Product Primer on What it Takes to Build Conversation Driven Digital Applications that are Future Proofed and Meet Enterprise User Needs</b></p> <ul style="list-style-type: none"> <li>• Pre ChatGPT: Natural Language Understanding (NLU) driven architectures and why they were successful</li> <li>• ChatGPT: Advent of large language models and their impact on conversational AI design, development, and deployment. Do we abandon the old in pursuit of the new?</li> <li>• Post ChatGPT: How do enterprise conversational AI systems evolve to meet existing and future challenges. What are some good design principles to keep in mind while building for the future?</li> </ul>
10:00am – 10:30am	<p><b>Keynote Presentation: AI-Powered Real-Time Compliance: From Reactive to Proactive</b></p> <ul style="list-style-type: none"> <li>• Transitioning from static reports to continuous compliance</li> <li>• Empowering regulators and compliance officers with AI copilots</li> <li>• Automating AML/KYC through explainable AI</li> </ul>

	Shesh Narayan Gupta, <i>Senior Manager Data Science</i> <b>CAPITAL ONE</b>
10:30am – 11:00am	<i>Mid-Morning Coffee Break &amp; Networking in Exhibition Area</i>
11:00 am - 11:35am	<p><b>Panel Discussion: Building and Scaling AI: From Generative AI to AI Agents – Balancing Cost, Risk, and Business Value</b></p> <ul style="list-style-type: none"> <li>• What are the most effective strategies to scale AI initiatives while keeping costs under control?</li> <li>• How can organizations balance the transformative potential of generative AI with the risks it introduces?</li> <li>• In what ways AI Agents are reshaping business operations, and what challenges come with their adoption?</li> <li>• How do you measure and ensure tangible business value from AI investments across the enterprise?</li> </ul> <p><b>Panelists:</b>  Hunter Treschl, <i>Manager, AI Center of Excellence, CBOE GLOBAL MARKETS</i>  Karthikcharan Suresh, <i>Sr. Lead Data &amp; AI, HIGHSTREET INSURANCE PARTNERS</i>  Brij Mohan, <i>Vice President-Software Development, LPL FINANCIAL</i>  Kishan Kumar, <i>Senior Software Engineer - Data and AI, CHIME</i>  Kelly Marie O'Brien, <i>Vice President, Innovation Economy Card, JPMORGANCHASE</i></p>
11:35am-12:05pm	<p><b>Keynote Presentation: From Data to Strategy: Transforming Investment Processes with a Self-Correcting Multi-Agent Framework</b></p> <ul style="list-style-type: none"> <li>• <b>Institutional AI Challenges:</b> Although large language models (LLMs) can generate market insights efficiently, issues such as hallucinations and unverifiable statements render them unsuitable for regulated production environments.</li> <li>• <b>Architectural Innovation:</b> Harbor Capital Advisors has developed a Multi-Agent <b>Act-Verify-Refine (AVR)</b> framework—a self-correcting, validation-focused system designed to surpass traditional one-shot generation methods, achieving institutional-grade reliability.</li> <li>• <b>Self-Correcting Mechanism:</b> Dedicated agents systematically dissect narratives into individual claims, validate each assertion, and refine results iteratively until regulatory requirements are fully satisfied.</li> <li>• <b>Key Recommendations:</b> Establish agentic state machines centered on validation, incorporate automated claim auditing, ensure robust data grounding, and delineate specific Human-in-the-Loop processes to maintain subject matter expertise while ensuring scalability.</li> </ul> <p>Shuen Mei, <i>VP, Director of Software and Data Engineering, HARBOR CAPITAL</i></p>
12:05pm - 12:40pm	<p><b>Panel Discussion: Trusted Customer Data as the Catalyst for AI Innovation</b></p> <ul style="list-style-type: none"> <li>• How can financial institutions ensure the quality, integrity, and governance of customer data to drive reliable AI outcomes?</li> <li>• In what ways does trusted customer data accelerate the development of innovative, personalized financial products and services?</li> <li>• What are the biggest challenges in balancing customer privacy with the need for data to fuel AI innovation?</li> </ul> <p><b>Panelists:</b>  Alan Zhang, <i>SVP Credit Analytics &amp; Infrastructure, FIRST CITIZENS BANK</i>  Goutham Miryala, <i>Sr. Data Engineer, CHIME</i>  Will Johnson, <i>Head of Data Engineering and Development, CERITY PARTNERS</i></p>
12:40pm-12:50pm	<p><b>USE CASE SHOWCASE: Innovative AI Solutions</b></p> <p>Discover groundbreaking AI technologies aiming to transform finance.</p> <p><b>Innovation Slot 1:</b></p>

12:50pm – 1:50pm	<i>Lunch &amp; Networking in Exhibition Area</i>	
1:50pm – 2:20pm	<b>Keynote Presentaion: Perspective on AI, Governance, Compliance, and Innovation within Financial Service</b> Balancing AI innovation with governance and regulatory requirements Building responsible AI frameworks that support business growth Managing compliance, risk, and trust in AI-driven financial services  Jesse Gossett, <i>Senior Director, Examinations, FINRA</i>	
2:20pm- 2:45pm	<b>Keynote Presentation: The Next Power Shift: Agentic AI in Global Finance</b> <ul style="list-style-type: none"> <li>• How multi-agent systems are driving real-time decision-making in trading, risk, and compliance</li> <li>• The role of AI orchestration in creating autonomous financial ecosystems</li> <li>• Balancing innovation with human oversight and regulatory trust</li> </ul> Umesh Bapat, <i>Director of AI, Data Science Products and Integrations</i> <b>ROCKET CLOSE</b>	
2:45pm- 03:10pm	<b>Keynote Presentation: The Rise of Autonomous Finance: From Models to Decision-Making Systems</b> <ul style="list-style-type: none"> <li>• How AI is evolving from prediction to execution (AI agents in trading, risk, and operations)</li> <li>• What “agentic AI” means for banks, hedge funds, and fintechs</li> <li>• The future role of humans in AI-driven financial decisions</li> </ul> Joseph Chen, <i>SVP – Financial Modeling (QMA), WINTRUST FINANCIAL CORPORATION</i>	
03:10pm- 3:35pm	<b>Keynote Presentation: Agentic (AI) Master Data Management</b> <ul style="list-style-type: none"> <li>• Transforming MDM with autonomous AI agents</li> <li>• Improving data quality and governance through intelligent automation</li> <li>• Enabling trusted, scalable AI with unified enterprise data</li> </ul> Raghuvaran Reddy Kalluri, <i>Lead Developer &amp; Solution Architect, ROYAL BANK OF CANADA</i>	
3:35pm – 4:05pm	<b>Strategy Roundtable: The Next Frontier of AI in Finance: From Agentic Intelligence and Multi-Agent Systems to Quantum Breakthroughs Transforming Trading, Risk &amp; Compliance</b> <ul style="list-style-type: none"> <li>• Agentic AI driving smarter trading decisions.</li> <li>• Multi-agent systems for better forecasting and risk.</li> <li>• Quantum computing reshaping compliance.</li> </ul>	<b>Strategy Roundtable: The AI Maturity Journey in Financial Services: Driving Growth, Innovation &amp; Trust</b> <ul style="list-style-type: none"> <li>• From Pilots to Scalable Impact: How financial institutions can evolve AI maturity to unlock measurable business outcomes.</li> <li>• The Growth Catalyst: Why AI maturity is the key to driving efficiency, innovation, and revenue generation in financial services.</li> <li>• Building Trust at Scale: How to align governance, compliance, and customer trust as AI capabilities mature.</li> </ul>
4:05pm – 4:30pm	<i>Afternoon Coffee Break &amp; Networking in Exhibition Area</i>	
4:30pm – 5:00pm	<b>Keynote Presentation: Semantic Models Are Infrastructure: Powering Reliable AI at Scale</b> <ul style="list-style-type: none"> <li>• Why today’s semantic layer is breaking and silently corrupting both analytics and AI</li> <li>• How we built a deterministic, versioned semantic control plane (no LLM guesswork)</li> <li>• Turning semantics into infrastructure that powers both BI and GenAI consistently</li> </ul>	

	Kishan Kumar, <i>Senior Software Engineer - Data and AI, CHIME</i>
5:00pm-5:30pm	<p><b>Keynote Presentation: Building Trust in AI - Why Data Quality &amp; Governance Matter Most in Finance</b></p> <ul style="list-style-type: none"> <li>• How does poor data quality directly undermine AI outcomes in finance?</li> <li>• What governance practices truly build trust in AI-driven decisions?</li> <li>• How can institutions balance innovation with strict data controls?</li> <li>• What lessons from early adopters show the ROI of strong data governance?</li> </ul> <p>Vishal Sai Gadige, <i>Senior Manager - Data Science and Reporting</i>  <b>CIBC US</b></p>
5:30pm-6:00pm	<i>Networking Reception in the Exhibition Area</i>
6:00pm	<i>End of Day 1</i>